SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event <u>Emergent BioSolutions Inc.</u> (Month/Day/Year) 07/15/2016 07/15/2016			3. Issuer Name and Ticker or Trading Symbol <u>Aptevo Therapeutics Inc.</u> [APVO]					
(Last) (First) (Middle) 400 PROFESSIONAL DR, SUITE 400			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street)			Officer (give title below)	Other (spe below)	cify 6.	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
GAITHERSBURG MD 20879							y More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Amount of Securities neficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, par value \$0.001 per share			1,000	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conversion Exercion	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		xpiration ate	Title	Amount or Number of Shares	Price of Derivativ Security			
Explanation of Responses:								

<u>/s/ A.B. Cruz, III, Executive</u> <u>Vice President, General</u> <u>Counsel and Corporate</u> <u>Secretary</u>

** Signature of Reporting Person

07/15/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.