FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

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0.5

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Check this box if no longer subject i	L
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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Name and Address of Reporting Person*  Harsanyi Zsolt					2. Issuer Name and Ticker or Trading Symbol Aptevo Therapeutics Inc. [ APVO ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Harsan	<u>yı Zsoit</u>				r_									X Direct	or		10% O	wner	
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year)								Office below	r (give title )		Other ( below)	specify	
C/O APTEVO THERAPEUTICS INC.					00/0	J1/20	121												
2401 4TH AVENUE, SUITE 1050				4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable						
(Street)													Lir	,	filed by One	o Don	ortina Dere	,n	
SEATTL	E W	A !	98121												filed by Mo		Ü		
(City)	(Si	tate) (	(Zip)																
		Tab	le I - Non-I	Deriva	tive	Sec	uritie	s Ac	quired, D	Disp	osed c	of, or Be	neficia	lly Owne	d				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)			Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Yea		e, Transaction Disposed Code (Instr. 5)		rities Acquired (A ed Of (D) (Instr. 3,		Benefic Owned	es ially Following	Form (D) o	vnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership				
								Code	v	Amount	(A) o	r Price		eported ansaction(s) astr. 3 and 4)			(Instr. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Cc	Transaction Code (Ins				6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Secur (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		e S Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Co	ode '	v	(A)	(D)	Date Exercisable		piration te	Title	Amount or Number of Shares						
Stock Option (right to	\$26.15	06/01/2021		-	A		2,678		(1)	06/	/01/2031	Common Stock	2,678	\$0	2,678	8	D		

(3)

## **Explanation of Responses:**

(2)

Restricted Stock Unit

1. The option vests in three approximately equal annual installments beginning on June 1, 2022.

06/01/2021

- 2. Restricted stock units (the "RSUs") convert into shares of the Issuer's common stock on a one-for-one basis.
- $3. \ The \ RSUs \ vest \ in \ three \ approximately \ equal \ annual \ installments \ beginning \ on \ June \ 1, 2022.$

/s/ Carl A. Valenstein, attorneyin-fact 06/02/2021

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\$<mark>0</mark>

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D

Common Stock

(3)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- $\ ^{**} \ Intentional \ misstatements \ or \ omissions \ of facts \ constitute \ Federal \ Criminal \ Violations \ See \ 18 \ U.S.C. \ 1001 \ and \ 15 \ U.S.C. \ 78ff(a). \\$

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

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